



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventors: Charles E. Taylor and Jim L. Lee
Appl. No.: 10/074,082
Confirm. No.: 8109
Filed: February 12, 2002
Title: ELECTRO-KINETIC AIR TRANSPORTER-CONDITIONER
DEVICES WITH AN UPSTREAM ELECTRODE

PATENT APPLICATION

Art Unit: 1741
Examiner: Unassigned

Customer No. 23910

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to **Box Missing Parts, Commissioner for Patents, Washington, DC 20231**, on June 6, 2002.

Sheldon R. Meyer, Reg. No. 27,660
Signature Date: June 6, 2002

(Attorney Signature)

REQUEST FOR CORRECTION OF FILING RECEIPT

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

Sir:

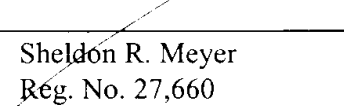
An Amended Filing Receipt is requested in accordance with the additional priority claim indicated in the accompanying Preliminary Amendment. A copy of the existing Filing Receipt is attached with desired corrections written in.

No fee is required with this communication.

☒ The Commissioner is hereby authorized to charge underpayment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

Date: June 6, 2002

By: 
Sheldon R. Meyer
Reg. No. 27,660

FLIESLER DUBB MEYER & LOVEJOY LLP
Four Embarcadero Center, Fourth Floor
San Francisco, California 94111-4156
Telephone: (415) 362-3800



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/074,827 ✓	02/12/2002 ✓	1741	1484	SHPR- 01041USQ ✓ SRM/SDS	27	38 ✓	8 ✓

CONFIRMATION NO. 8062 ✓

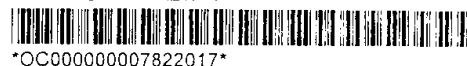
Sheldon R. Meyer, Esq.
FLIESLER DUBB MEYER & LOVEJOY LLP
Fourth Floor
Four Embarcadero Center
San Francisco, CA 94111-4156

RECEIVED

APR 12 2002

FLIESLER DUBB
MEYER & LOVEJOY

FILING RECEIPT



OC000000007822017

Date Mailed: 04/08/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

Edward C. McKinney JR., Residence Not Provided; ✓
Charles E. Taylor, Residence Not Provided;
Jim L. Lee, Residence Not Provided;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/341,176 12/13/2001 ✓
AND CLAIMS BENEFIT OF 60/306,479 07/18/2001
AND A CIP OF 09/924,624 08/08/2001
WHICH IS A CON OF 09/564,960 05/04/2000 PAT 6,350,417
WHICH IS A CIP OF 09/186,471 11/05/1998 PAT 6,176,977
THIS APPLICATION IS ALSO A CIP OF 09/130,499 12/15/2000 WHICH IS A
CONTINUATION OF 09/186,471 PAT 6,176,977

Foreign Applications

If Required, Foreign Filing License Granted 04/06/2002 ✓

Projected Publication Date: To Be Determined - pending completion of Missing Parts ✓

Non-Publication Request: No ✓

Early Publication Request: No ✓

SHPR - 01041 USQ

Action #17

April 12, 2003

April 12, 2003

SEM

mm

mm

Title

Electro-kinetic air transporter-conditioner with non-equidistant collector electrodes ✓

Preliminary Class

422

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventors: Edward C. McKinney, Jr.; Charles E. Taylor;
Jim L. Lee

Appl. No.: 10/074,827

Confirm. No.: 8062

Filed: February 12, 2002

Title: ELECTRO-KINETIC AIR TRANSPORTER-CONDITIONER
WITH NON-EQUIDISTANT COLLECTOR ELECTRODES

PATENT APPLICATION

Art Unit: 1741

Examiner: Unassigned

Customer No. 23910

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to **Box Missing Parts, Commissioner for Patents, Washington, DC 20231**, on June 6, 2002.

Sheldon R. Meyer, Reg. No. 27,660
Signature Date: June 6, 2002

(Attorney Signature)

RESPONSE TO NOTICE TO FILE MISSING PARTS

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application -- Filing Date Granted, dated April 8, 2002, enclosed are the following documents in connection with the above-identified application:

- ☒ Copy of Notice to File Missing Parts -- Filing Date Granted
- ☒ Declaration for C-I-P Patent Application
- ☒ Information Disclosure Statement under 37 C.F.R. §1.56 with cited references
- ☒ Preliminary Amendment
- ☒ Request for Correction of Filing Receipt and Copy of Filing Receipt with corrections

Total Fee

The Total Fee associated with this communication has been calculated as shown below:

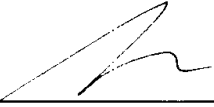
-	Assignment recording fee (\$40.00)	
<u>✓</u>	Surcharge under 37 C.F.R. §1.16(e) for late filing of filing fee or Declaration:	
<u>✓</u>	Large Entity	\$130.00
		<u>\$130.00</u>
	TOTAL FEE DUE:	\$130.00

Method of Payment of Fees

- ✓ A check in the amount of the TOTAL FEE DUE is enclosed.
- ✓ The Commissioner is hereby authorized to charge underpayment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

Date: June 6, 2002

By: 
Sheldon R. Meyer
Reg. No. 27,660

FLIESLER DUBB MEYER & LOVEJOY LLP
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San Francisco, California 94111-4156
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/074,827	02/12/2002	Edward C. McKinney JR.	SHPR-01041USQ SRM/SDS

CONFIRMATION NO. 8062

FORMALITIES LETTER



OC000000007822018

Sheldon R. Meyer, Esq.
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Four Embarcadero Center
San Francisco, CA 94111-4156

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APR 12 2002

FLIESLER DUBB
MEYER & LOVEJOY

Date Mailed: 04/08/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

Repln. Ref: 06/20/2002 HMOHAMM1 0013033260
 DRI:061325 Name/Number:10074082
 FC: 704 \$130.00 CR

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/20/2002 MGEEREM1 00000036 10074082

FC:105

130.00 CR

Adjustment dates 06/20/2002 HMOHAMM1
 06/19/2002 MGEEREM1 00000036 10074082
 01 FC:105

-130.00 CR